



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

CARL M. ELLISON

Application No.: 09/540,611

Filed: March 31, 2000

For: MANAGING ACCESSES IN A

PROCESSOR FOR ISOLATED

**EXECUTION** 

Art Group: 2782

Examiner: Unassigned

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Technology Center 2100

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure, enclosed is a copy of Information Disclosure Statement by Applicant (form PTO/SB/08), which is being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Copies of the references cited on PTO/SB/08 are enclosed herewith.

The references were cited in a Search Report dated August 6, 2002 (copy enclosed herewith) from a foreign patent office in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated August 6, 2002.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: November 18, 2002

William W. Schaal, Reg. No. 39,018

12400 Wilshire Blvd., 7th Floor Los Angeles, California 90025 (714) 557-3800

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on:

November 18, 2002

11-18-02

Corrinn R. Reynolds

Date

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Approved for use through 10/31/2002. OMB 0651-0031 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
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Application No. 09/540,611 TRANSMITTAL FORM Filing Date March 31, 2000 RECEIVED (to be used for all correspondence after initial filing) First Named Inventor Carl M. Ellison Group Art Unit 2782 NOV 2 6 2002 **Examiner Name** Unassigned <del>Tethnelegy Cent</del>er 2100 Total Number of Pages in This Submission 20 42390P8112 Attorney Docket Number **ENCLOSURES** (check all that apply) After Allowance Communication to Group Assignment Papers (for an Application) Fee Transmittal Form Appeal Communication to Board of Appeals and Interferences Fee Attached Drawing(s) Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Affidavits/declaration(s) Petition to Convert a Provisional Application Status Letter Extension of Time Request Other Enclosure(s) Power of Attorney, Revocation Change of Correspondence Address (please identify below): **Express Abandonment Request** Terminal Disclaimer SB08, Copy of Search Information Disclosure Statement Report and 9 Cited References Certified Copy of Priority Document(s) Request for Refund Response to Missing Parts/ Incomplete Application CD, Number of CD(s) Remarks Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm William W. Schaal, Reg. No. 39,018 Individual name AKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP Signature Date November 18, 2002 CERTIFICATE OF MAILING/TRANSMISSION I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 18, 2002 Typed or printed name Corrinn R. Reynolds Date November 18, 2002 Signature

PTO/SB/17 (10-02)

Approved for use through 10/31/2002. OMB 0651-0032

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CRACEPÉE TRANSMITTAL	Complete if Known				
	Application Number	09/540,611 RECEIVED			
for FY 2003	Filing Date	March 31, 2000			
Patent fees are subject to annual revision.	First Named Inventor	Carl M. Ellison NOV 2 6 2000			
Applicant claims small entity status. See 37 CFR 1.27.	Examiner Name	Unassigned NOV 2 6 2002			
	Group/Art Unit	2782 Тваназания			
TOTAL AMOUNT OF PAYMENT (\$)	Attorney Docket No.	2782 Technology Center 210			

Check   Check card   Check ca	METHOD OF PAYMENT (check one)						FEE CALCULATION (continued)							
Deposit Account	Charles Cradit card Money Other None								3. ADDITIONAL FEES					
Deposit	Check Cicledit card Corder Cottler Circle							Large	Entity	Sma	il Entity			
Number					02-2666			Code	(9)	Coos	(9)	•	Fee Pa	aid
Disposit   Blakely, Sokoloff, Taylor & Zafman LLP   2,500   1804   2,500   1804   900   900	Number									<u> </u>				
The Commissioner is authorized to: (check all that apply)   1802   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   1810   2.520   2.520   1810   2.520   2.520   1810   2.520   2.5						1052	50	2052	25					
Charge (sete) indicated below   Charge (sete) indicated   Charge (sete) indicate	Blakely, Sokoloff, Taylor & Zafman LLP						an LLP	2053	130	2053	130	Non-English specification		
Charge fee(s) indicated below, except for the filling fee to the above-identified deposit account   1251 110 2251 55 Extension for reply within first month   1252 110 2251 55 Extension for reply within first month   1253 920 2253 440 Extension for reply within first month   1254 1,440 2254 720 Extension for reply within fourth month   1254 1,440 2254 720 Extension for reply within fourth month   1404 2201 370 Utility fing fee   1404 320 2401 180 Notice of Appeal   1404 320 2401 180 Notice of Appeal   1403 320 2402 180 Filling a brief in support of an appeal 1403 280 2403 140 Request for oral hearing   1453 1,280 2453 840 Extension for reply within fifth month   1404 320 2402 180 Filling a brief in support of an appeal 1403 280 2403 140 Request for oral hearing   1403 280 2403 140 Request for oral hearing   1403 280 2403 140 Request for oral hearing   1453 1,280 2453 840 Petition to review - unavoidable   1453 1,280 2453 840 Petition to review - unavoidable   1454 1,510 2451 1,510 Petition to review - unavoidable   1454 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2451 1,510 Petition to review - unavoidable   1455 1,510 2,510	The Commissioner is authorized to: ( check all that apply)							1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge feets) indicated below, except for the filing fee to the above-identified deposit account   1251   110   2251   55   Extension for reply within first month   1252   400   2252   2252   200   Extension for reply within second month   1252   400   2252   2252   200   Extension for reply within second month   1252   400   2252   2252   200   Extension for reply within third month   1252   400   2252   2252   200   Extension for reply within first month   1253   400   2255   360   Extension for reply within first month   1254   4140   2254   720   Extension for reply within first month   1255   1,560   2255   360   Extension for reply within first month   1255   1,560   2255   360   Extension for reply within first month   1255   1,560   2255   360   Extension for reply within first month   1255   1,560   2255   360   Extension for reply within first month   1255   1,560   2255   360   Extension for reply within first month   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,560   1255   1,5	☐ Charg	ge fee(s) i	ndicated I	below	☐ Cre	edit any overpa	yments	1804	920*	1804	920 *		•	
Charge fee(s) indicated below, except for the filling fee to the above-dentified deposal account   1251   110   2251   55   Extension for reply within first month   1252   400   2252   200   Extension for reply within first month   1253   920   2253   460   Extension for reply within first month   1254   1,440   2254   720   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1254   1,440   2254   720   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255   660   Extension for reply within first month   1255   1,660   2255	Charg	ge any ado	ditional fe	e(s) requ	uired under 37 CFI	R §§ 1.16, 1.17	7, 1.18 and 1.20.	1805	1.840 *	1805	1.840 *			
Total above-identified deposit account	_	ne fee(s) i	ndicated I	below. e	xcept for the filin	a fee		1805	1,010	1000	,,0 .0			.
1. BASIC FILING FEE   Large Entity   Small Entity					1251	110	2251	55	Extension for reply within first month		_			
1254   1,440   2254   720   Extension for reply within fourth month   1255   1,960   1,960	FEE CALCULATION							1252	400	2252	200	Extension for reply within second month		_
Fee	1. E	BASIC	FILIN	G FE	Ē			1253	920	2253	460	Extension for reply within third month		
Code   6    Code	Large Er	ntity	Small (	Entity	_			1254	1,440	2254	720	Extension for reply within fourth month		-1
1001   740   2001   370   Utility fling fee   1402   320   2401   160   Notice of Appeal   1402   320   2402   165   Ifling a brief in support of an appeal   1403   280   2403   140   Request for oral hearing   1403   280   2803   2403   140   Request for oral hearing   1403					Fee Description		Fee Paid	1255	1,960	2255	980	Extension for reply within fifth month		-
1002   330   2002   165   Design filing fee   1402   320   2402   160   Filing a brief in support of an appeal   1403   280   2403   140   Request for oral hearing   1405   2405   140   Request for oral hearing   1405								1404	320	2401	160	Notice of Appeal		
1003   510   2003   255   Plant filing fee   1403   280   2403   140   Request for oral hearing   1403   1405   1503   1503   1503   1605   18								1402	320	2402	160	Filing a brief in support of an appeal		_
1004   740   2004   370   Reissue fliing fee   1451   1.510   2452   55   Petition to institute a public use proceeding   1452   110   2452   55   Petition to revive - unavoidable   1453   1.280   2453   640   Petition to revive - unavoidable   1453   1.280   2453   640   Petition to revive - unintentional   1451   1.510   2452   55   Petition to revive - unintentional   1451   1.510   2452   55   Petition to revive - unintentional   1453   1.280   2453   640   Petition to revive - unintentional   1451   1.510   2452   250   3410   Petition to revive - unintentional   1451   1.510   2452   250   640   Utility issue fee (or reissue)   1502   460   2502   230   Design issue fee   1503   620   2503   3410   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   2452   155   Petition to revive - unintentional   1451   1.510   1452   150   1452						,		1403	280	2403	140	Request for oral hearing		
1452   110   2452   55   Petition to revive - unavoidable   1453   1.280   2453   640   Petition to revive - unavoidable   1453   1.280   2453   640   Petition to revive - unintentional   1501   1.280   2501   640   Utility issue fee (or reissue)   1501   1.280   2502   230   Design issue fee   1503   620   2503   310   Plant		1			=	ne.		1451	1,510	2451	1,510	Petition to institute a public use proceeding		$\neg$
Subtotal (1)   Subt					_			1452	110	2452	55	Petition to revive - unavoidable		$\neg$
2. EXTRA CLAIM FEES Extra Claims   1501   1.280   2502   230   Design issue fee   2503   310   Plant issue fee   2503   310	1000	'	2000			(a)		1453	1,280	2453	640	Petition to revive - unintentional		
Total Claims	SUBTOTAL (1) (\$)					1501	1,280	2501	640	Utility issue fee (or reissue)		$\neg$		
Claims	2. EXTRA CLAIM FEES Eutra Seatonn							1502	460	2502	230	Design issue fee		
1807   50   1807   50   1807   50   1807   50   1807   50   1807   50   1807   50   1807   50   1807   50   1807   50   1808   180		_					Fee Paid	1503	620	2503	310	Plant issue fee		
Multiple Dependent  Large Entity Small Entity  Fee Fee Fee Fee Fee Pee Description  1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple Dependent claims in excess of 3 1204 84 2204 42 "Reissue independent claims over original patent  1205 18 2205 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (S)  1807 50 Frocessing fee tinues of Processing fee tinues of				. 20	<u>-</u> x	.]	=	1460	130	2460	130	Petitions to the Commissioner		
Large Entity Small Entity  Fee Fee Code (\$)  1202 18 2202 9 Claims in excess of 20  1201 84 2201 42 Independent claims in excess of 3  1202 18 2203 140 Multiple Dependent claim, if not paid  1204 84 2204 42 "Reissue independent claims over original patent  1205 18 2205 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (\$)  8021 40 8021 40 Recording each patent assignment per property (times number of properties)  1809 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))  1810 740 2810 370 For each additional invention to be examined (37 CFR § 1.129(b))  1801 740 2801 370 Request for Continued Examination (RCE)  1802 900 1802 900 Request for expedited examination of a design application  1802 900 Request for expedited examination of a design application  1803 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))  1803 740 1809 370 For each additional invention to be examined (37 CFR § 1.129(b))  1804 740 2810 370 Request for Continued Examination (RCE)  1805 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))  1809 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))  1809 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))  1809 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))  1809 740 1809 370 Filing a submission after final rejection (37 CFR § 1.129(a))	Independe Claims	nt [		. з	*		=	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Fee	Multiple De	ependent					=	1806	180	1806	180	Submission of Information Disclosure Stmt		
1809   740   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1809   370   Filing a submission after final rejection (37 CFR § 1.129(a))   1800   370   For each additional invention to be examined (37 CFR § 1.129(b))   1801   370   Request for Continued Examination (RCE)   1802   900   1802   900   Request for expedited examination of a design application   1801   740   1802   900   Request for expedited examination of a design application   1801   740   1802   900   Request for expedited examination of a design application   1801   740   1802   900   1802   900   Request for expedited examination of a design application   1801   740   1802   900	Large Entity Small Entity					8021	40	8021	40			_		
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple Dependent claim, if not paid 1204 84 2204 42 "Reissue independent claims over original patent 1205 18 2205 9 "Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (5)  1810 740 2810 370 For each additional invention to be examined (37 CFR § 1.129(b)) 1810 740 2810 370 Request for Continued Examination (RCE) 1802 900 1802 900 Request for expedited examination of a design application  *Reduced by Basic Fing Fee Paid SUBTOTAL (3) (5)					Fee Description			1000	740	1000	370			_
1201   84   2201   42   Independent claims in excess of 3   1810   740   2810   370   For each additional invention to be examined (37 CFR § 1.129(b))   1802   900   1802   900   Request for expedited examination of a design application   1802   900   Reduced by Basic Fing Fee Paid   SUBTOTAL (3)   (5)					Claims in excess	of 20		1809	, 40	1009	570		-	
1203 280 2203 140 Multiple Dependent claim, if not paid 1204 84 2204 42 "Reissue independent claims over original patent 1801 740 1802 900 Request for expedited examination of a design application Other fee (specify)  SUBTOTAL (2) (S) "Reduced by Basic Fing Fee Paid SUBTOTAL (3) (S) (S)						1810	740	2810	370			- $ $		
1204 84 2204 42 **Reissue independent claims over original patent   1802 900   1802 900 Request for expedited examination of a design application   1802 900   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination of a design application   1802 900 Request for expedited examination   1802 900 Request for expedited examination   1802 900 Request for expedited examination   1802 900 Request for examination   1802 900 Request for expedited examination   1802 900 Request for examination   1802 900 Request for examination   1802 900 Reques	1203	280	2203	140	Multiple Depende							_		
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent  SUBTOTAL (2) (5)  *Reduced by Basic Fing Fee Paid SUBTOTAL (3) (5)	1204	84	2204	42				l					ļ	_
original patent  SUBTOTAL (2)  (S)  *Reduced by Basic Fing Fee Paid SUBTOTAL (3) (S)					l		1802	900			_			
*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)							Other fe	e (specify)		=		_	$\dashv$	
	SUBTOTAL (2) (\$)													
	**or number previously paid, if greater, For Reissues, see below					*Reduced	by Basic Filin	g Fee Pai	d	SUBTOTAL (3)	(\$)			

SUBMITTED BY Complete (if applicable) Registration No. Name (Print/Type) (714) 557-3800 39,018 Telephone William <u>W</u>. Schaa<u>l</u> (Attorney/Agent) 11/18/02 Signature Date

WARNING! Information of this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.